

## IRA TRANSFER REQUEST

Please send completed form to:

**Regular Mail Delivery**

Euro Pacific Funds  
PO Box 2175  
Milwaukee WI 53201-2175

**Overnight Delivery**

Euro Pacific Funds  
C/O UMB Fund Services, Inc  
235 W Galena Street  
Milwaukee WI 53212-3948

*The IRA Transfer Request is used to facilitate the transfer of assets between two IRAs. This form **should not** be used to facilitate a transfer to an Inherited IRA, a rollover of qualified plan assets to an IRA or a conversion of Traditional, SEP or SIMPLE IRA assets to a Roth IRA.*

**PART I: IRA OWNER INFORMATION (RECEIVING IRA)**

Name: \_\_\_\_\_ Taxpayer ID Number: \_\_\_\_\_ Date of Birth: \_\_\_\_\_

Residence Address: \_\_\_\_\_

Mailing Address: \_\_\_\_\_

Primary Phone: \_\_\_\_\_ Email Address: \_\_\_\_\_

Status with Respect to Current IRA:     IRA Owner     Spouse Beneficiary of Deceased IRA Owner     Former Spouse of IRA Owner

**PART II: IRA OWNER INFORMATION (CURRENT IRA)**

*Note: Complete this section only if the IRA owner of the current IRA is different from the IRA owner identified in Part I above.*

Name: \_\_\_\_\_ Taxpayer ID Number: \_\_\_\_\_ Date of Birth: \_\_\_\_\_

Reason for Transfer (*select one*):

- Transfer Incident to Divorce or Legal Separation
- Transfer Inherited IRA to Spouse Beneficiary's Own IRA

*Answer the questions below to determine your eligibility for transferring the Inherited IRA to your own IRA.*

1. Did the IRA owner pass away prior to last year?     YES     NO
2. Were you age 72 or older as of December 31 of last year?     YES     NO

If you answered, "NO" to Question 1 and/or Question 2, you are eligible to transfer the Inherited IRA to your own IRA.

If you answered, "YES" to both Question 1 and Question 2, you are **NOT** eligible to transfer the Inherited to your own IRA as the deadline to do so has passed. You may, however, be eligible to move all or a portion of the Inherited IRA funds to your own IRA via a distribution from the Inherited IRA and a rollover contribution into your own IRA. Before you roll over the Inherited IRA to your own IRA, you must satisfy required distributions including, but not limited to "hypothetical required minimum distributions" from all applicable IRAs. Required distributions rolled over may result in an excess contribution subject to IRS penalty and additional tax.

**PART III: CURRENT IRA TRUSTEE/CUSTODIAN INFORMATION**

IRA Trustee/Custodian Name: \_\_\_\_\_ Phone: \_\_\_\_\_

Address: \_\_\_\_\_

**PART IV: TRANSFER INSTRUCTIONS**

*Check the box below to request an IRA-to-IRA transfer.*

- I request to transfer the current IRA to the receiving IRA as described in Part V. I understand that if there is a required distribution associated with the transferred assets for the current year, it is my responsibility to withdraw the required distribution from the receiving IRA (or another eligible IRA) before the end of the calendar year, if the required distribution was not satisfied prior to the transfer.

**PART V: TRANSFER DESCRIPTION (*Select One*)**

- Traditional/SEP IRA to Traditional/SEP IRA    Current IRA Account/Plan Number: \_\_\_\_\_  
Receiving IRA Account/Plan Number: \_\_\_\_\_
- SIMPLE IRA to SIMPLE IRA    Current IRA Account/Plan Number: \_\_\_\_\_  
Receiving IRA Account/Plan Number: \_\_\_\_\_

Roth IRA to Roth IRA Current IRA Account/Plan Number: \_\_\_\_\_  
Receiving IRA Account/Plan Number: \_\_\_\_\_

SIMPLE IRA to Traditional/SEP IRA\* Current IRA Account/Plan Number: \_\_\_\_\_  
Receiving IRA Account/Plan Number: \_\_\_\_\_

\*You may not transfer SIMPLE IRA assets to a Traditional/SEP IRA until at least two years have elapsed from the time of your initial participation in your employer's SIMPLE IRA plan.

**PART VI: LIQUIDATION INSTRUCTIONS**

I authorize and direct the current IRA Trustee/Custodian to liquidate assets as follows (select one).

- Immediately liquidate all assets and send the cash proceeds to the receiving IRA Trustee/Custodian.
- Partially liquidate \$ \_\_\_\_\_ of the current IRA and send the proceeds to the receiving IRA Trustee/Custodian. (Additional written liquidation instructions may be required.)
- Transfer in-kind
- Other (describe): \_\_\_\_\_

**PART VII: TRANSFER INSTRUCTIONS**

By Check:  
Make check payable as follows:  
\_\_\_\_\_ (Name of receiving financial organization) as the  Trustee  Custodian  
FBO \_\_\_\_\_ (Name of IRA Owner) Traditional, SEP, SIMPLE or Roth IRA (as applicable)

Please mail check to:

	<u><b>Regular Mail Delivery</b></u>	<u><b>Overnight Delivery</b></u>
_____	_____	_____
_____	_____	_____
_____	_____	_____

By Wire For wire instructions call \_\_\_\_\_.

**PART VIII: ACKNOWLEDGEMENTS**

By signing this *IRA Transfer Request*, I certify that the information I have provided is true and correct. I authorize the current IRA Trustee/Custodian to transfer the IRA assets as instructed above. I understand that I am responsible for ensuring I am eligible to authorize this transfer and I assume all responsibilities for any consequences that arise resulting from my actions or inactions. I agree to indemnify and hold both the current IRA Trustee/Custodian and the receiving IRA Trustee/Custodian harmless from any consequences related to executing my directions. I have been advised to seek competent legal and tax advice and have not been provided any such advice either the current IRA Trustee/Custodian or the receiving IRA Trustee/Custodian. I also understand that if this transfer involves a SIMPLE IRA, or if I am subject to the required minimum distribution requirements, special rules apply; and I assume responsibility for my actions or inactions regarding those issues.

Signature of IRA Owner (or other authorized person): \_\_\_\_\_ Date: \_\_\_\_\_

By signing below, the Trustee/Custodian of the receiving IRA agrees to accept this transfer as instructed above.

Signature of Receiving IRA Trustee/Custodian Representative: \_\_\_\_\_ Date: \_\_\_\_\_